



## 1. GENERAL INFORMATION

Participant: \_\_\_\_\_

Account No.: \_\_\_\_\_

## 2. INVESTMENT INFORMATION

Asset Description: \_\_\_\_\_

Percentage of Ownership: \_\_\_\_\_

Total Purchase Price: \_\_\_\_\_

### IF YOU ARE PURCHASING:

**REAL ESTATE**      IS THERE A DEPOSIT?    YES    NO      AMOUNT:\$ \_\_\_\_\_

IS THE PROPERTY TO BE MORTGAGED?    YES    NO

Contact Information:

**NOTES**      THIS IS A      NEW NOTE      EXISTING NOTE

IS THIS A SECURED NOTE?    YES    NO

INTEREST RATE: \_\_\_\_\_ %      MATURITY DATE: \_\_\_\_\_

 Borrower  
 Contact Information:


**OTHER ASSETS**      No. of Shares \_\_\_\_\_      Price Per Share \_\_\_\_\_

**Transactions Fees to be paid:**    Account    Check  
  
 Credit Card      Card Type: \_\_\_\_\_  
 Card Number: \_\_\_\_\_  
 Expiration Date: \_\_\_\_\_      Security Code: \_\_\_\_\_  
 Name on Card: \_\_\_\_\_

**How would you like to fund the investment:**    Check    Wire  
  
 Check Payable To: \_\_\_\_\_  
 Mail Check To: \_\_\_\_\_

## 3. SIGNATURE OF PARTICIPANT

By signing below, I hereby acknowledge having been advised by Administrator to seek the advice of independent legal, tax and/or investment counsel prior to executing this Directive. I further acknowledge that neither Administrator nor Custodian hereunder offer legal, tax or investment advice with respect to the subject matter hereof and it is incumbent upon me to obtain such advice from qualified third parties prior to initiating the instant directive. I understand and agree that I have the sole responsibility for the investment of the assets held within the account established hereunder and compliance with the legal requirements pertinent thereto. I further acknowledge that the only responsibility Administrator and/or Custodian have under this directive, or otherwise, is to follow my appropriate written instructions (upon forms supplied by Administrator). Administrator and/or Custodian are not fiduciaries with respect to me or the subject account and will not determine the suitability of such investment or its compliance with applicable law. I understand and acknowledge that it is my responsibility to determine whether any activity undertaken within the subject account may constitute a Prohibited Transaction pursuant to IRC §4975 and/or may give rise to any tax consequences (e.g.- Unrelated Business Taxable Income, etc.). I hereby release, indemnify and hold Administrator and Custodian harmless from any and all liability of any nature whatsoever that may arise as a consequence of Administrator and/or Custodian carrying-out the directives I am providing hereunder.

PARTICIPANTS SIGNATURE: \_\_\_\_\_      DATE: \_\_\_\_\_